

DETAILED INSTRUCTIONS FOR THE NEW FISCAL YEAR

Enclosed you will find information concerning procedures for starting the new fiscal year. Use this manual as a guideline to ensure your agency is ready for the new fiscal year. The last three sets of coding instructions are for the appropriation and allotment (AA), expense budget (EB), and revenue budget (RB) transactions. These instructions can be used throughout the year to adjust your appropriations, allotments, and budget lines.

If you have any questions, please contact the AFNS hotline. We look forward to supporting you throughout the coming year.

These instructions should be retained for future use. Please note latest revision date in the footer.

Steps to Begin New Fiscal Year

Following the steps listed below will ensure that AFNS is ready for the new fiscal year.

1. **Clean up SUSF.** It is imperative that all previous fiscal year requisitions and purchase orders be processed and/or deleted before October 1.
2. **Set up new fund numbers (if applicable) in AFNS.** The AFNS staff will set up new fund numbers for generic agencies. Stand-alone agencies will set up their own fund numbers.
3. Agencies that require **new account coding for the new fiscal** year must set up organization tables and add these to the BRPT table. There are guidelines that must be followed when creating new or inactivating organization tables. Refer to **page 4** for 'Organization Master Table Instructions'. Adding new records to the BRPT table, refer to **page 11** for 'Tips for Updating the BRPT Table'. Remember to add new organization codes to the FY **07** XWLK table.
4. Enter **next year's Operations Plan (BRPT)** following the guidelines listed in the 'AFNS New Year Instructions'.
5. The AA, EB and RB transactions must be scheduled or accepted by the cut-off date and time indicated on the 'AFNS NEW YEAR INSTRUCTIONS'. The **AA transaction instructions** 'Processing Allotments and Appropriations' are located on **pages 14 - 19**.
6. After the AA transactions are processed and accepted, follow the **EB transaction instructions** 'Processing Expense Budget Transactions' located on **pages 20 - 23** and/or the RB transaction instructions 'Processing Revenue Budget Transactions' on **pages 24 - 27**.
7. Generic agencies not currently using **automatic numbering** and would like to have this capability should contact the AFNS hotline. Stand Alone agencies are required to update their own ADNT table. Any document can be set up for automatic numbering. Listed on **page 39** are several documents with required and suggested formats.

NOTE: Agencies that miss the deadline for entering the Operations Plan in the BRPT table need to follow the detail instructions for AAs, EBs and RBs beginning on **page 28**. Do not enter on the BRPT table after the deadline given on the AFNS New Year Instructions. In the event budgets are delayed, the deadline will be extended.

STEP 1

CLEAN UP SUSF

Before October 1, process or delete all requisitions and purchase orders so that they are not on the SUSF table for the new fiscal year. Requisitions and Purchase Orders have batch numbers assigned and each year the batch number starts over. If the transactions remain on SUSF when the new year starts, the current fiscal year Requisitions and Purchase Orders will reject because of duplicate batch numbers. **It is imperative that all previous fiscal year requisitions and purchase orders be processed or deleted before October 1.**

STEP 2

SET UP NEW FUND NUMBERS IN AFNS

Set up new fund numbers (if applicable) in AFNS. The AFNS staff will set up new fund numbers upon request for generic agencies. Stand-alone agencies will set up their own fund numbers.

STEP 3

ORGANIZATION MASTER TABLE INSTRUCTIONS

Agencies whose organizational structure has not changed for the new fiscal year, can skip to step four. The NYTI process will create the new fiscal year organization table for you.

Agencies whose organizational structure has changed for the new fiscal year will need to follow the instructions below.

1. The following points may help you establish your organizational structure in the AFNS system.

Organizations are breakdowns of departments/agencies. Agencies can be divided into any number of organizations. This table defines valid organization codes and assigns organizational relationships. The table allows the definition of a twelve-level hierarchy among organizations.

- A. Draw an organization "tree" representing the levels of authority among the organizations in your Department.
 - B. Assign a code to each box (organization) in your tree. Each box represents a separate entry in the organization Master Table.
 - C. Starting at the top, assign a level (1 through 12) to each line of boxes (thereby assigning a reporting level to each organization). You are limited to twelve levels.
2. The "Organization Table coding Instructions" beginning on **page 5** tell you how to enter the records into the AFNS system.

REMEMBER: All new organizations for the new fiscal year *must* be added to the crosswalk table.

3. Organization codes not valid in the new fiscal year will have to follow the rules listed below:

ORGANIZATION MASTER TABLE RULES

"Organization codes which are valid in this fiscal year, but not valid in the new fiscal year, will need to remain active in the new fiscal year. This will allow warrants to be processed without human intervention when a prior year voucher is warranted."

"Do NOT inactivate ORG2 or EXP2 table entries until the last warrant has been accepted with the prior year organization codes."

"Records flagged inactive will not roll forward to the new fiscal year."

ACTION: S SCREEN: **ORG2** USERID: AFNS

O R G A N I Z A T I O N

FISCAL YEAR= AGENCY= ORGANIZATION=

NAME: MANAGER:

LEVEL IND: MULTI-YEAR IND: GRANT IND: EXCLUDE BUDGET PREP:

FUND: ACTIVITY: FUNCTION: PROJECT:

STATUS: APPROVAL: BUYER: INVENTORY IND:

JOB NUMBER REQD ON EXPENSE: SUB-ORG REQD ON EXPENSE:

JOB NUMBER REQD ON REVENUE: SUB-ORG REQD ON REVENUE:

----- BUDGETING ORGANIZATION LEVELS -----

EXPENSE BUDGET ORG LEVEL: REVENUE BUDGET ORG LEVEL:

APPROPRIATION ORG LEVEL: ALLOTMENT BUDGET ORG LEVEL:

----- REPORTING ORGANIZATIONS -----

ORG 1: ORG 2: ORG 3: ORG 4:

ORG 5: ORG 6: ORG 7: ORG 8:

ORG 9: ORG 10: ORG 11: ORG 12:

FIELD	LENGTH	EXPLANATION
FISCAL YEAR	2	REQUIRED. Enter the last two digits of the applicable fiscal year.
AGENCY	3	REQUIRED. Enter your Department's agency code.
ORGANIZATION	4	REQUIRED. Adding a new organization code, enter a code that is unique within your agency.
ORGANIZATION NAME	30	OPTIONAL. Enter the name of the organization or unit. This name will be printed on all reports for this organization.
ORGANIZATION MANAGER'S NAME	12	OPTIONAL. Enter the name of the organization or unit manager. This name will appear on SELECT reports for this organization.

FIELD	LENGTH	EXPLANATION
LEVEL INDICATOR	2	<p>REQUIRED. Enter the name value for the level in which this organization appears on your tree. Choose one of the valid codes listed below:</p> <p>"01" if the organization being defined in this record does not report up to any other organization.</p> <p>"02" if the organization being defined in this record reports to only one organization above itself.</p> <p>"03" if the organization being defined in this record reports to two organizations above itself.</p> <p>"04" if the organization being defined in this record reports to three organizations above itself.</p> <p>"05" if the organization being defined in this record reports to four organizations above itself.</p> <p>"06" if the organization being defined in this record reports to five organizations above itself, etc.</p>
MULTI-YEAR INDICATOR	1	<p>Enter 'Y' if organizational budget spans fiscal years and it supports multiple budgeting and accounting years. When multi-year budget has expired must be changed to BLANK so the account can close to Fund Balance. Otherwise, LEAVE BLANK if the organization is not a multi-year orgn.</p>

FIELD	LENGTH	EXPLANATION
GRANT INDICATOR	1	LEAVE BLANK when the organization code does NOT represent Grants. "Y" when organization code represents grants.
EXCLUDE BUDGET PREP	1	LEAVE BLANK
FUND	4	LEAVE BLANK
ACTIVITY	4	LEAVE BLANK
FUNCTION	4	LEAVE BLANK
PROJECT	4	LEAVE BLANK
STATUS	1	LEAVE BLANK
APPROVAL	1	LEAVE BLANK
BUYER	2	LEAVE BLANK
INVENTORY INDICATOR	1	LEAVE BLANK
JOB REQUIRED OPT-EX	1	LEAVE BLANK
JOB REQUIRED OPT-RV	1	LEAVE BLANK
SUB ORG REQUIRED OPT-EX	1	LEAVE BLANK
SUB ORG REQUIRED OPT-RV	1	LEAVE BLANK
EXPB ORG LEVEL	2	REQUIRED. Enter the level of the expense budget organization to which this organization reports.
APPROP ORG LEVEL	2	LEAVE BLANK.

FIELD	LENGTH	EXPLANATION
ALLOT ORG LEVEL	2	LEAVE BLANK.
REVB ORG LEVEL	2	REQUIRED. Enter the level of the revenue budget organization to which this organization reports. If your Department does not use revenue budgets, enter the same level that was entered on the EXPB ORG LEVEL.
REPORTING ORG 1	4 EACH	REQUIRED. Enter the appropriate organization codes for the higher level of organizations to which this organization reports, as defined by your organizational tree. For example, if this is a level "04" organization, enter the organization codes for level organization "01", "02", "03", "04" in the ORG1, ORG2, ORG3 and ORG4 fields.
REPORTING ORG 2		
REPORTING ORG 3		
REPORTING ORG 4		
REPORTING ORG 5		
REPORTING ORG 6		
REPORTING ORG 7		
REPORTING ORG 8		
REPORTING ORG 9		
REPORTING ORG 10		
REPORTING ORG 11		
REPORTING ORG 12		

STEP 4

BUDGET REPORT MASTER TABLE (BRPT) & REVENUE BUDGET REPORT TABLE (RRPT) INSTRUCTIONS

The AFNS New Year Instructions gives the deadline for entering the Operation Plan on the BRPT table and Revenue budgets on the RRPT table.

The BRPT table is used to record the Agencies' Operation Plan for the next fiscal year's budget. This table will create the budget transactions (AAs and EBs) which will be processed in AFNS to set up the beginning budget balances. Until the budget transactions are accepted in AFNS, no other expense transactions (i.e. PV, JV, and PO) can be processed.

The RRPT table is used to record the Agencies' Revenue budget for the next fiscal year's budget. This table will create RB budget transaction which will be processed in AFNS to set up the beginning revenue budget balances.

The BRPT/RRPT tables are only used once a year during the period of August and September to initially set up the budget for the new fiscal year. Beginning October, agencies will then have to enter AA, EB and/or RB transactions to add or modify budgets in AFNS.

The BPEX program will create the BRPT/RRPT tables with zero in the Adopted Budget field. Agencies need to ignore all other amount fields because the program that creates the AA, EB and/or RB transactions will ignore these amounts. Amounts may appear in the other fields, but they should not be changed or deleted.

Agencies whose account coding structure does not change for the new fiscal year only have to enter budgeted dollar amount in the Adopted Budget field by object code or revenue source code.

Agencies whose account coding structure changes, will have to make additions, changes or deletions to the BRPT/RRPT tables. Follow the instructions on **pages 10 - 11** 'Tips for Updating the BRPT/RRPT Tables'.

REMEMBER: Anytime there are new account coding structures the agency must add, change or inactivate the crosswalk table (XWLK).

Account coding structures that were valid in **FY 05** and left valid in **FY 06** to process prior year expenditures must be deleted off the BRPT table **before** the BPTR program is ran that creates the AA & EB transactions for **FY 07**.

A list of the account coding structure as it appears on BRPT will be sent to each agency.

TIPS FOR UPDATING THE BRPT/RRPT TABLES

SCAN

To scan for your agency's first record, key in the following:

ACTION	"S"
FISC YEAR	The New Fiscal Year. Ex: 07
FUND	The AFNS fund number
AGENCY	The department's agency number

Press Enter

CHANGE

Listed below are the instructions for entering the budget amounts or changing any result fields on the BRPT/RRPT tables. After displaying and entering the 'Adopted Budget' amount on the first record, follow the procedures below:

ACTION	"C"
	Key in the appropriate amount from the Operations Plan/Revenue Budget in the adopted budget field. Enter the amount in the form of 10000.00 or 1000000 for \$10,000.00. ENTER WHOLE DOLLARS WITH "00" FOR THE CENTS. Also, changes to any other result field can be made using this method.

Press Enter

ACTION	"R"
	Press Enter
	The next record will be displayed. Continue until the complete budget has been entered on the BRPT/RRPT tables.

TIPS FOR UPDATING THE BRPT/RRPT TABLES

ADD

To add a new budget line, follow the procedures below:

ACTION

"A"

Enter in the new account coding
(i.e. new organization)

Key in the appropriate amount from the
Operations Plan/Revenue Budget in the adopted
budget field. Enter the amount in the form
of 10000.00 or 1000000 for \$10,000.00. **ENTER
WHOLE DOLLARS WITH "00" FOR THE CENTS.**

Press Enter

DELETE

Only delete the records that will not be used to pay current or prior
year expenditures or record revenue.

To delete records that will not be valid, get the record by keying:

ACTION

"G"

BUDGET FY

The New Fiscal Year. Ex: **07**

FUND

The AFNS fund number

AGENCY

The department's agency number

ORGANIZATION

The department's organization code

OBJECT

The object code

Press Enter

If only one record needs to be deleted make
sure the screen displays one record.

ACTION

"D"

Press Enter.

BUDGET REPORT MASTER TABLE (BRPT) CODING INSTRUCTIONS

ACTION: S SCREEN: BRPT USERID: AFNS		
B U D G E T R E P O R T		
BUDGET FY=		
01-	FUND=	AGENCY=
	ACTIVITY=	ORGANIZATION=
	LINE DESCRIPTION:	OBJECT=
	SPENDING CONTROL IND:	APPR:
	CURR YEAR EST EXP:	SERIES NUM: SUB-OBJECT OPTION:
	REQUESTED BUDGET:	PRIOR YEAR EXP:
	RECOMMENDED BUDGET:	CURR YEAR APRV AMT:
	ADOPTED BUDGET:	CURR MODIFIED BUDGET:
		YTD ACTUAL EXP:
02-	FUND=	AGENCY=
	ACTIVITY=	ORGANIZATION=
	LINE DESCRIPTION:	OBJECT=
	SPENDING CONTROL IND:	APPR:
	CURR YEAR EST EXP:	SERIES NUM: SUB-OBJECT OPTION:
	REQUESTED BUDGET:	PRIOR YEAR EXP:
	RECOMMENDED BUDGET:	CURR YEAR APRV AMT:
	ADOPTED BUDGET:	CURR MODIFIED BUDGET:
		YTD ACTUAL EXP:

BUDGET FY	2	REQUIRED. Enter the last two digits of the fiscal year that budgets are being built. This field will become the budget fiscal year on the EB and AA transactions.
FUND	4	REQUIRED. Enter the four-digit AFNS fund number.
AGENCY	3	REQUIRED. Enter the agency code.
ORGANIZATIION	4	OPTIONAL. If budgeting by organization, enter your AFNS organization code.
ACTIVITY	4	LEAVE BLANK.
FUNCTION	4	LEAVE BLANK.
OBJECT	4	REQUIRED. Enter the four-digit major object code.
LINE DESCRIPTION	30	REQUIRED. Enter the name of the object code if field is blank. This description will also be displayed on the ESUM table.

APPR	9	REQUIRED. Enter the AFNS appropriation code associated with the fund.
SPENDING CONTROL IND	1	LEAVE BLANK.
SERIES NUM	3	LEAVE BLANK.
SUB-OBJECT OPTION	1	LEAVE BLANK.
CURR YEAR EST EXP	14	DO NOT USE. * Amount may appear in this field - Do not change or delete.
PRIOR YEAR EXP	14	DO NOT USE. * Amount may appear in this field - Do not change or delete.
REQUESTED BUDGET	14	DO NOT USE. * Amount may appear in this field - Do not change or delete.
CURR YEAR APRV AMT	14	DO NOT USE. * Amount may appear in this field - Do not change or delete.
RECOMMENDED BUDGET	14	DO NOT USE. * Amount may appear in this field - Do not change or delete.
CURR MODIFIED BUDGET	14	DO NOT USE. * Amount may appear in this field - Do not change or delete.
ADOPTED BUDGET	14	Enter the budget amount from the Operation Plan associated with the object code. Enter the amount in the form of 10000.00 or 1000000 for \$10,000.00. ENTER WHOLE DOLLARS WITH "00" FOR THE CENTS.
YTD ACTUAL EXP	14	DO NOT USE. * Amount may appear in this field - Do not change or delete.

STEP 5

PROCESSING ALLOTMENTS AND APPROPRIATIONS

ACTION: S SCREEN: SUSF USERID: AFNS												
FUNCTION:						ORG:						
D O C U M E N T S U S P E N S E												
S	BATCH				DOCUMENT				PROCESS			
E	-----											
L	TYPE	AGCY	NUMBER	TYPE	AGCY	NUMBER	STAT	APPRV	LAST	LAST	DATE	
-	----	----	-----	----	----	-----	----	-----	----	----	(YYMMDD)	
				AA	XXX							

All the AA transactions must be processed, with a function of "R" (run), before the EB transactions can be accepted. The AA transactions will create the APP2 and ALLT tables which the EB transactions will be validated against.

Each appropriation needs to be broken down into the quarterly allotments.

Scan the SUSF table by putting an "**S**" in the ACTION, "**AA**" in the DOCUMENT TYPE column and your agency code in the AGCY column.

Press Enter.

This will show the Document ID Numbers for the AA transactions that were built from the BRPT table. Turn the page for the procedures on updating the AA transactions.

A. SCANNING FOR AA TRANSACTIONS

ACTION: S SCREEN: SUSF USERID: AFNS											
FUNCTION: ORG:											
D O C U M E N T S U S P E N S E											
S	BATCH			DOCUMENT							PROCESS
E	-----			-----					LAST	LAST	DATE
L	TYPE	AGCY	NUMBER	TYPE	AGCY	NUMBER	STAT	APPRV	DATE	USER	(YYMMDD)
-	-----			-----			-----		-----		-----
.	.	.		AA	001	BP0000000001	REJCT	00000	060911	OFF-	
				AA	001	BP0000000002	REJCT	00000	060911	OFF-	
				AA	001	BP0000000003	REJCT	00000	060911	OFF-	

Each department must go into the AA transactions and establish quarterly allotments.

Enter an "S" (scan) in the Action field and move the cursor on the line of the transaction.

Press Enter.

This will display the AA transaction.

B. ESTABLISHING QUARTERLY ALLOTMENTS

FUNCTION: <u>IL</u>	DOCID: AA 001 B0000000001	
STATUS:	BATID:	ORG: 001-001 OF 001
H-	APPROPRIATION AND ALLOTMENT INPUT FORM	
APP DATE:	ACCTG PRD:	BUDGET FY: <u>07</u>
ACTION: A FUND: 0300 AGENCY: 001	APPR UNIT: DAQ	ALLOT YR:
NAME:	SHORT NAME:	
REV APP AMT: 40,000	I/D AMT: 40,000	TOT ALLOT AMT: <u>40,000</u>
	CALCULATED TOT ALLOT AMT:	
REV EST REC:	I/D EST REC:	BUD AUTH OPT:
INPUT ALLOT PRD	REVISED ALLOT AMT	ALLOT INC/DEC AMT
-----	-----	-----
01-		
02-		
03-		
04-		
05-		
06-		
07-		
08-		
09-		
A--*CQ93-DOCUMENT REJECTED		H--*S401-READY FOR APPROVAL 1
H--*S402-READY FOR APPROVAL 2		H--*S403-READY FOR APPROVAL 3
H--*F053-DOCUMENT NOT APPROVED		

The header information was generated from the Operations Plan you entered on the BRPT table.

You must fill in the TOT ALLOT AMT (Total Allotment Amount) field with the total appropriation amount.

To enter the quarterly allotments, a line must be inserted into this document. Enter "IL" into the FUNCTION field, and put your cursor in the header section.

Press Enter.

C. ESTABLISHING QUARTERLY ALLOTMENTS CONTINUED

FUNCTION:	DOCID: AA 001 B0000000001		
STATUS:	BATID:	ORG:	001-001 OF 001
H- APPROPRIATION AND ALLOTMENT INPUT FORM			
APP DATE:	ACCTG PRD:	BUDGET FY:	07
ACTION: A	FUND: 0300	AGENCY: 123	APPR UNIT: DAQ ALLOT YR:
NAME:	SHORT NAME:		
REV APP AMT: 40,000	I/D AMT: 40,000	TOT ALLOT AMT:	<u>40,000</u>
CALCULATED TOT ALLOT AMT:			
REV EST REC:	I/D EST REC:	BUD AUTH OPT:	
INPUT ALLOT PRD	REVISED ALLOT AMT	ALLOT INC/DEC AMT	
-----	-----	-----	
01-	01	10000	10000
02-	02	10000	10000
03-	03	10000	10000
04-	04	10000	10000
05-			
06-			
07-			
08-			
09-			

Enter lines 01-04 with the quarterly amounts. Press Enter.

The appropriation can be broken into any quarterly amounts. AFNS allows agencies to spend from the previous quarters.

Agencies that record the total budget in the first quarter and will have expenditures throughout the year, MUST build quarters 02, 03 and 04 with a zero budget. See the example below:

FUNCTION:	DOCID: AA XXX BP111111111		
STATUS:	BATID:	ORG:	001-004 OF 004
H- APPROPRIATION AND ALLOTMENT INPUT FORM			
APP DATE:	ACCTG PRD:	BUDGET FY:	07
ACTION: A	FUND: XXXX	AGENCY: XXX	APPR UNIT: XXX ALLOT YR:
NAME:	SHORT NAME:		
REV APP AMT: 40000	I/D AMT: 40000	TOT ALLOT AMT:	
CALCULATED TOT ALLOT AMT:			
REV EST REC:	I/D EST REC:	BUD AUTH OPT:	
INPUT ALLOT PRD	REVISED ALLOT AMT	ALLOT INC/DEC AMT	
-----	-----	-----	
01-	01	40000	40000
02-	02	0	0
03-	03	0	0
04-	04	0	0

PROCESSING AA TRANSACTION CONTINUED

FUNCTION: R	DOCID: AA 001 B0000000001		
STATUS:	BATID:	ORG:	001-001 OF 001
H-	APPROPRIATION AND ALLOTMENT INPUT FORM		
APP DATE:	ACCTG PRD:	BUDGET FY:	07
ACTION: A FUND: 0300 AGENCY: 123	APPR UNIT: DAQ	ALLOT YR:	
NAME:	SHORT NAME:		
REV APP AMT: 40,000	I/D AMT: 40,000	TOT ALLOT AMT:	40,000
CALCULATED TOT ALLOT AMT:			
REV EST REC:	I/D EST REC:	BUD AUTH OPT:	
INPUT ALLOT PRD	REVISED ALLOT AMT	ALLOT INC/DEC AMT	
-----	-----	-----	
01- 01	10000	10000	
02- 02	10000	10000	
03- 03	10000	10000	
04- 04	10000	10000	
05-			
06-			
07-			
08-			
09-			

Enter **"R"** (run) in the function to process the transaction. The AA must have a status of ACCPT before the EB transaction will process.

Press Enter.

PROCESSING AA TRANSACTION CONTINUED

FUNCTION:	DOCID: AA 001 B0000000001		
STATUS: ACCPT	BATID:	ORG:	001-001 OF 001
H-	APPROPRIATION AND ALLOTMENT INPUT FORM		
APP DATE:	ACCTG PRD:	BUDGET FY:	07
ACTION: A FUND: 0300	AGENCY: 123	APPR UNIT: DAQ	ALLOT YR:
NAME:	SHORT NAME:		
REV APP AMT: 40,000	I/D AMT: 40,000	TOT ALLOT AMT: 40,000	
		CALCULATED TOT ALLOT AMT: 40,000	
REV EST REC: 0	I/D EST REC:	BUD AUTH OPT:	
INPUT ALLOT PRD	REVISED ALLOT AMT	ALLOT INC/DEC AMT	
-----	-----	-----	
01- 01	10,000	10,000	
02- 02	10,000	10,000	
03- 03	10,000	10,000	
04- 04	10,000	10,000	
05-			
06-			
07-			
08-			
09-			

The AA transaction has updated the Appropriation Table (APP2) and the Allotment Table (ALLT).

To retrieve the next AA, enter **"G"** (GET) in the function and key in the next AA transaction number in the ID Number field.

Press Enter.

Continue following the procedures from **Page 17** Section "B. ESTABLISHING QUARTERLY ALLOTMENTS" until all of your agencies' AA transactions are accepted.

To go back to the Suspense File (SUSF) enter **"E SUSF"** in the function to verify that all AAs have a status of ACCPT.

Once all of the AAs have been accepted then you are ready to process your Expense Budget (EB) transactions.

STEP 6

PROCESSING EXPENSE BUDGET TRANSACTIONS

ACTION: s SCREEN: SUSF USERID: AFNS
FUNCTION: ORG:

D O C U M E N T S U S P E N S E

S	BATCH	DOCUMENT	PROCESS
E	-----	-----	DATE
L	TYPE AGCY NUMBER	TYPE AGCY NUMBER	STAT APPRV DATE USER (YYMMDD)
-	-----	-----	-----
		EB XXX	

The EB transactions will create the EXP2 table.

Scan the SUSF table by putting "EB" in the DOCUMENT TYPE column and the agency code in the AGCY column.

Press Enter.

This will show the Document ID numbers for the EB transactions that were built from the BRPT table. Turn the page for the procedures on updating the EB transactions.

A. SCANNING FOR EB TRANSACTIONS

ACTION: **S** SCREEN: SUSF USERID: AFNS
 FUNCTION: ORG:

D O C U M E N T S U S P E N S E

S	BATCH		DOCUMENT					LAST	LAST	PROCESS
E	-----		-----					DATE	USER	DATE
L	TYPE	AGCY	NUMBER	TYPE	AGCY	NUMBER	STAT	APPRV	DATE	USER
-	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----
.	.	.	EB	001		BP0000000001	REJCT	00000	060911	OFF-
			EB	001		BP0000000002	REJCT	00000	060911	OFF-
			EB	001		BP0000000003	REJCT	00000	060911	OFF-

Enter an **"S"** (scan) in the Action field and move the cursor on the line of the transaction to be processed.

Press Enter.

B. PROCESSING EB TRANSACTIONS

FUNCTION: R		DOCID: EB 001 BP000000001	
STATUS: REJCT		BATID:	ORG: 001-004 OF 004
H-		EXPENSE BUDGET INPUT FORM	

TRANS DATE:	ACCTG PRD:	BUDGET FY: 07
FUND: 0300	AGENCY: 123	TOTAL REVISED EXP AMT: 40,000
		CALCULATED REVISED EXP AMT:
LIN	SUB SPD BUDGET	

ACT	ORG	APPR	UNIT	ACTV	FUNC	OBJ	OPT	IND	POSTNS	REVISED	AMT	INC/DEC	AMT

			SERIES		REVENUE	SOURCE							
			NUMBER		REF1	REF2	REF3		DESCRIPTION				

01-	A	0438	DAQ			0100				7,000		7,000	
02-	A	0438	DAQ			0200				10,000		10,000	
03-	A	0438	DAQ			0300				3,000		3,000	
04-	A	0438	DAQ			0300				20,000		20,000	

A--*CQ93-DOCUMENT REJECTED	01-B160E-INVALID APPR UNIT
02-B160E-INVALID APPR UNIT	03-B160E-INVALID APPR UNIT
04-B160E-INVALID APPR UNIT	

The EB transaction rejected due to the AA document not being processed which was completed in the previous step. The error message 'Invalid Appr Unit' will no longer be a valid error since the AA created the appropriation table (APP2).

Enter a **"R"** (run) in the Function to process the transaction.

Press Enter.

C. PROCESSING EB TRANSACTION

FUNCTION:	DOCID: EB 001 BP000000001	
STATUS: ACCPT	BATID:	ORG: 001-004 OF 004
H-	EXPENSE BUDGET INPUT FORM	
TRANS DATE:	ACCTG PRD:	BUDGET FY: 07
FUND: 0300	AGENCY: 123	TOTAL REVISED EXP AMT: 40,000
		CALCULATED REVISED EXP AMT: 40,000
LIN	SUB SPD BUDGET	
ACT ORG APPR UNIT ACTV FUNC OBJ OPT IND POSTNS REVISED AMT INC/DEC AMT		

	SERIES	REVENUE SOURCE
	NUMBER	REF1 REF2 REF3 DESCRIPTION

01- A 0438 DAQ		0100 7,000 7,000
02- A 0438 DAQ		0200 10,000 10,000
03- A 0438 DAQ		0300 3,000 3,000
04- A 0438 DAQ		0300 20,000 20,000
A--*HS60-DOCUMENT MARKED FOR READ ONLY		

The EB transaction has updated your Expense Budget Table (EXP2).

Enter "G" (GET) in the function and key the next EB transaction number in the ID Number field.

Press Enter.

Continue following the procedures from the previous page entitled "B. PROCESSING EB TRANSACTIONS" until all your agencies' EB transactions are processed.

The system is now ready to process transactions for the new fiscal year. However, these transactions will need to be scheduled for future processing (with a date of the first working day in October).

PROCESSING REVENUE BUDGET TRANSACTIONS

ACTION: S SCREEN: SUSF USERID: AFNS
 FUNCTION: ORG:

D O C U M E N T S U S P E N S E

S	BATCH		DOCUMENT							PROCESS
E	-----		-----							DATE
L	TYPE	AGCY	NUMBER	TYPE	AGCY	NUMBER	STAT	APPRV	DATE	USER (YYMMDD)
-	-----		-----			-----		-----		-----
				RB	XXX					

The RB transactions will create the RSUM/REV2 tables.

Scan the SUSF table by putting "RB" in the DOCUMENT TYPE column and the agency code in the AGCY column.

Press Enter.

This will show the Document ID numbers for the RB transactions that were built from the RRPT table. Turn the page for the procedures on updating the RB transactions.

D. SCANNING FOR RB TRANSACTIONS

ACTION: **S** SCREEN: SUSF USERID: AFNS
 FUNCTION: ORG:

D O C U M E N T S U S P E N S E

S	BATCH			DOCUMENT					LAST	LAST	PROCESS
E	-----			-----					DATE	USER	DATE
L	TYPE	AGCY	NUMBER	TYPE	AGCY	NUMBER	STAT	APPRV	DATE	USER	(YYMMDD)
-	-----			-----			-----		-----		
.	.	.		RB	XXX	BP0000000001	REJCT	00000	060911	OFF-	
				RB	XXX	BP0000000002	REJCT	00000	060911	OFF-	
				RB	XXX	BP0000000003	REJCT	00000	060911	OFF-	

Enter an **"S"** (scan) in the Action field and move the cursor on the line of the transaction to be processed.

Press Enter.

E. PROCESSING RB TRANSACTIONS

FUNCTION: R		DOCID: RB XXX BP000000001	
STATUS: REJCT		BATID:	ORG: 000-000 OF 000
H-		REVENUE BUDGET INPUT FORM	
TRANS DATE:		ACCTG PRD:	BUDGET FY: 07
FUND: 0123	AGENCY: XXX	TOTAL REVISED REVENUE AMT: 40,000	
		CALCULATED REVISED REVENUE AMT:	
LIN	ACT	ORGN	ACTV
---	---	---	---
DESCRIPTION			
01-	A	1234	0690 ABC 7,000 7,000
02-	A	1234	0691 ABC 10,000 10,000
03-	A	1234	0692 ABC 3,000 3,000
04-	A	1234	0692 ABC 20,000 20,000
A--*CQ93-DOCUMENT REJECTED		01-B160E-INVALID APPR UNIT	
02-B160E-INVALID APPR UNIT		03-B160E-INVALID APPR UNIT	
04-B160E-INVALID APPR UNIT			

The RB transaction rejected due to the AA document not being processed which was completed in a previous step. The error message 'Invalid Appr Unit' is no longer a valid error since the AA created the appropriation table (APP2).

Enter an **"R"** (run) in the Function to process the transaction.

Press Enter.

F. PROCESSING RB TRANSACTION

FUNCTION:		DOCID: RB XXX BP000000001	
STATUS: ACCPT		BATID:	ORG: 000-000 OF 000
H-		REVENUE BUDGET INPUT FORM	
TRANS DATE:		ACCTG PRD:	BUDGET FY: 07
FUND: 0123	AGENCY: XXX	TOTAL REVISED REVENUE AMT: 40,000	
		CALCULATED REVISED REVENUE AMT: 40,000	

LIN	ACT	ORGN	ACTV	REV SRCE	APPR UNIT	REVISED AMT	INC/DEC AMT
---	---	---	---	---	---	---	---
DESCRIPTION							
01-	A	1234		0690	ABC	7,000	7,000
02-	A	1234		0691	ABC	10,000	10,000
03-	A	1234		0692	ABC	3,000	3,000
04-	A	1234		0692	ABC	20,000	20,000

A--*HS60-DOCUMENT MARKED FOR READ ONLY

The RB transaction has updated your Revenue Budget Tables (RSUM/REV2).

Enter "G" (GET) in the function and key the next RB transaction number in the ID Number field.

Press Enter.

Continue following the procedures from the previous page entitled "PROCESSING RB TRANSACTIONS" until all your agencies' RB transactions are processed.

The system is now ready to process transactions for the new fiscal year. However, these transactions will need to be scheduled for future processing (with a date of the first working day in October).

APPROPRIATION AND ALLOTMENT

An "AA" transaction is done to establish a fund's appropriation and allotments. AFNS can set up allotments monthly, quarterly or yearly. "AA" transactions are also done to increase/decrease appropriations and to move amounts from one allotment period to another allotment period.

FIELD NAME	LENGTH	CODING INSTRUCTIONS
DOCUMENT ID		REQUIRED. There are three fields in the Document ID.
	3	TRAN (Transaction code). Enter AA.
	3	AGENCY. Enter the department's three digit agency code.
	11	ID NUMBER (Identification Number). The first position will be the last digit of the fiscal year, followed by your three-digit agency code. The remaining seven digits are up to the department's discretion. Automatic numbering can be used for "AA" transactions.
APP DATE	6	LEAVE BLANK. Will default to the current date.
ACCT PRD	4	LEAVE BLANK. Will default to the current accounting period.
BUDGET FY	2	REQUIRED. Enter the last two-digits of the budget fiscal year for the appropriation.
ACTION	1	REQUIRED. Enter one of the following: A - Adding a new appropriation and allotment or reactivate an inactive appropriation code. C - To change the amounts for the appropriation, the amounts for the allotment periods, and/or to change the name of the appropriation.

FIELD NAME	LENGTH	CODING INSTRUCTIONS
		D - To deactivate an appropriation. No more obligations can be processed for this appropriation code. Inactive lines will be deleted from next year's budget by NYTI process.
FUND	4	REQUIRED. Enter the AFNS fund number.
AGENCY	3	REQUIRED. Enter the agency code for the Department.
APPR UNIT	9	REQUIRED. Enter the AFNS appropriation unit.
ALLOT YR	2	Leave Blank.
NAME	30	OPTIONAL. Enter the name of the appropriation. This name will print on reports. If you enter a new name for a previously defined code, the system adopts the new name.
SHORT NAME	12	OPTIONAL. Enter a name that you want to appear on reports when there is not enough room for the full name.
REV APP AMT	12	REQUIRED. Enter the total amount of the appropriation, whether this transaction is a new appropriation or changing the amount of an existing appropriation. DO NOT CODE CENTS.

FIELD NAME	LENGTH	CODING INSTRUCTIONS
		<p>LEAVE BLANK if you are only moving amounts from one allotment period to another allotment period.</p> <p>LEAVE BLANK if deactivating or changing the name.</p>
I/D AMT	12	<p>REQUIRED. Enter the total amount of the appropriation if this transaction is a new appropriation.</p> <p>If you are changing the amount of an existing appropriation, enter the difference between the original amount and the new amount. This is an unsigned field. Do not enter a plus or minus sign and DO NOT CODE CENTS.</p> <p>LEAVE BLANK if you are moving amounts from one allotment period to other allotment periods.</p> <p>LEAVE BLANK if deactivating or changing the name.</p>
TOT ALLOT AMT	12	<p>REQUIRED. Enter the total of the "REVISED ALLOT AMT" column from the line information.</p>
CALCULATED TOT ALLOT AMT	12	<p>Do not code this field. It is the system's computed total of the revised allotment line amounts.</p>
REV EST REC	12	<p>LEAVE BLANK.</p>
I/D EST REC	12	<p>LEAVE BLANK.</p>
BUD AUTH OPT	1	<p>LEAVE BLANK.</p>

FIELD NAME	LENGTH	CODING INSTRUCTIONS
INPUT ALLOT PRD	2	<p>REQUIRED if you are adding or changing allotment periods.</p> <p>QUARTERLY ALLOTMENTS</p> <p>01 - first quarter</p> <p>02 - second quarter</p> <p>03 - third quarter</p> <p>04 - fourth quarter</p> <p>YEARLY ALLOTMENTS</p> <p>01 - one allotment period</p> <p>MONTHLY ALLOTMENTS</p> <p>01 - First Fiscal Month</p> <p>02 - Second Fiscal Month</p> <p>03 - Third Fiscal Month</p> <p>•</p> <p>•</p> <p>•</p> <p>12 - Twelfth Fiscal Month</p> <p>13 - Thirteenth Accounting Period</p>
REVISED ALLOT AMT	12	<p>REQUIRED. Enter the total amount of the allotments, whether this transaction is setting up new allotments or changing the amounts of existing allotments. DO NOT CODE CENTS.</p> <p>LEAVE BLANK if deactivating or changing the name of the appropriation.</p>
ALLOT INC/DEC AMT	12	<p>REQUIRED. Enter the total amount of each allotment period when setting up new allotments.</p> <p>When changing the amount of an existing allotment, enter the difference between the original amount and the new amount.</p>

FIELD NAME

LENGTH

CODING INSTRUCTIONS

This is an unsigned field. Do not enter a plus or minus sign. DO NOT CODE CENTS.

LEAVE BLANK if deactivating or changing the name.

EXPENSE BUDGET

An Expense Budget Transaction (EB) is done to establish a budget line for each major object code that will have activity. Expense Budget transactions are also done to increase/decrease the expense budget lines.

FIELD NAME	LENGTH	CODING INSTRUCTIONS
DOCUMENT ID		REQUIRED. There are three fields in the Document ID.
	3	TRAN (Transaction code). Enter EB.
	3	AGENCY. Enter the department's three digit agency code.
	11	ID NUMBER (Identification Number). The first position will be the last digit of the fiscal year, followed by your three-digit agency code. The remaining seven digits are up to the department's discretion. Automatic numbering can be used for "EB" transactions.
TRANS DATE	6	LEAVE BLANK. Will default to the current date.
ACCT PRD	4	LEAVE BLANK. Will default to the current accounting period.
BUDGET FY	2	REQUIRED. Enter the last two-digits of the budget fiscal year for the expense budget.
FUND	4	REQUIRED. Enter the AFNS fund number.
AGENCY	3	REQUIRED. Enter the agency code for the department.

FIELD NAME	LENGTH	CODING INSTRUCTIONS
TOTAL REVISED EXP AMT	12	REQUIRED. Enter the total of the "REVISED AMOUNT" column from the line information. DO NOT CODE CENTS.
CALCULATED REVISED EXP AMT	12	Do not code this field. It is the system's computed total of the "REVISED AMOUNT" line information.
LIN ACT	1	REQUIRED. Enter one of the following: A - Adding a new budget line. Reactivate an inactive line. C - To change the amount of an existing budget line or change the description. D - To deactivate a budget line. No more obligations can be processed for this budget line. Inactive lines will be deleted from next year's budget by NYTI process.
ORG	4	REQUIRED if the agency budgets by organization code.
APPR UNIT	9	REQUIRED. Enter the AFNS appropriation code.
ACTV	4	LEAVE BLANK.
FUNC	4	LEAVE BLANK.
OBJ	4	REQUIRED. Enter the major object code.
SUB OPT	1	LEAVE BLANK.

FIELD NAME	LENGTH	CODING INSTRUCTIONS
SPD IN	1	LEAVE BLANK.
BUDGET POSTNS	6	LEAVE BLANK.
REVISED AMOUNT	12	<p>REQUIRED. Enter the total amount of the expense budget line whether this transaction is a new expense budget line or changing the amount of an existing expense budget line. DO NOT CODE CENTS.</p> <p>LEAVE BLANK. If deactivating an expense budget line.</p>
INC/DEC AMOUNT	12	<p>REQUIRED. Enter the total amount of the expense budget line if this transaction is a new expense budget line.</p> <p>If you are changing the amount of an existing expense budget line, enter the difference between the original amount and the new amount. DO NOT CODE CENTS.</p> <p>LEAVE BLANK. If deactivating an expense budget line.</p>
SERIES NUMBER	3	LEAVE BLANK.
REVENUE SOURCE REF1 REF2 REF3	4ea	LEAVE BLANK.
DESCRIPTION	30	LEAVE BLANK.

REVENUE BUDGET

A Revenue Budget Transaction (RB) is done to establish a budget line for each revenue source code that will have activity. Revenue Budget transactions are also done to increase/decrease the revenue budget lines.

FIELD NAME	LENGTH	CODING INSTRUCTIONS
DOCUMENT ID		REQUIRED. There are three fields in the Document ID.
	3	TRAN (Transaction code). Enter RB .
	3	AGENCY. Enter the department's three digit agency code.
	11	ID NUMBER (Identification Number). The first position will be the last digit of the fiscal year, followed by your three-digit agency code. The remaining seven digits are up to the department's discretion.
		Automatic numbering can be used for "RB" transactions.
TRANS DATE	6	LEAVE BLANK. Will default to the current date (MM DD YY).
ACCT PRD	4	LEAVE BLANK. Will default to the current accounting period (FM FY).
BUDGET FY	2	REQUIRED. Enter the last two-digits of the budget fiscal year for the revenue budget (YY).
FUND	4	REQUIRED. Enter the AFNS fund number.
AGENCY	3	REQUIRED. Enter the agency code for the department.

FIELD NAME	LENGTH	CODING INSTRUCTIONS
TOTAL REVISED REVENUE AMT	12	REQUIRED. Enter the total of the "REVISED AMOUNT" column from the line information. DO NOT ENTER CENTS.
CALCULATED REVISED REVENUE AMT	12	Display only. This field is system computed from the "REVISED AMOUNT" line information.
LIN ACT	1	REQUIRED. Enter one of the following: A - Adding a new budget line. Reactivate an inactive line. C - To change the amount of an existing budget line and to change description. D - To deactivate a budget line. No more obligations can be processed for this budget line. Inactive lines will be deleted from next year's budget by NYTI process.
ORG	4	REQUIRED if the agency budgets by organization code.
ACTV	4	LEAVE BLANK.
REVENUE SOURCE	4	REQUIRED. Enter the revenue source code
APPR UNIT	9	REQUIRED. Enter the AFNS appropriation code.
REVISED AMOUNT	12	REQUIRED. Enter the total amount of the revenue budget line whether this transaction is a new revenue budget line or changing the amount of an existing revenue budget line. DO NOT ENTER CENTS.

FIELD NAME	LENGTH	CODING INSTRUCTIONS
		LEAVE BLANK. If deactivating a revenue budget line.
INC/DEC AMOUNT	12	<p>REQUIRED. ADDING new revenue budget line. Enter the total amount of the revenue budget line. DO NOT ENTER CENTS.</p> <p>Changing the amount of an existing revenue budget line. Enter the difference between the original amount and the new amount. DO NOT ENTER CENTS.</p> <p>LEAVE BLANK. If deactivating a revenue budget line.</p>
DESCRIPTION	30	LEAVE BLANK.

STEP 7

Automatic Numbering

Generic agencies not currently using automatic numbering and would like to have this capability should contact the AFNS hotline. Stand Alone agencies are required to update their own ADNT table. Any document can be set up for automatic numbering. Listed below are several documents with required and suggested formats.

Payment Vouchers - Eleven digits. The first position will be the last digit of the fiscal year, followed by your three-digit agency code. The remaining seven digits are up to the department's discretion.

Journal vouchers, cash receipts, AA, EB, & RB use the same format as the payment voucher.